



Financial Assistance Award

DENALI COMMISSION
510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414

Project Number - 0027-DC-2001-19

Denali Commission Accounting Code

95670000	\$ 1,585,000
----------	--------------

Total Commission Funding	\$ 1,585,000
--------------------------	--------------

Funds Available & Apportioned by OMB

CEE

Recipient Name & Address

Eastern Aleutian Tribes, Inc.
1600 A Street, Suite 104
Anchorage, AK 99501

Phone (907) 277-1440

Fax (907) 277-1446

Cost Share Distribution

	Denali Commission	Other contributors	Totals
Totals	\$ 1,585,000	\$2,500,000	\$ 4,085,000

Authority

112 Stat 1854

Project Title

King Cove Clinic

Award Performance Period

From: April 1, 2001

To: March 31, 2005

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☐ EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99
- ☒ Special Award Conditions and Attachments
- ☐ Line Item Budget
- ☐ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
- ☐ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
- ☒ OMB Circular A-133, Audits of States, Local Governments and Non-Profit Organizations (www.whitehouse.gov/OMB/circulars/a133/a133.html)
- ☒ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations (www.whitehouse.gov/OMB/circulars/a122/a122.html)
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions (www.whitehouse.gov/OMB/circulars/a021/a021.html)
- ☐ EDA Standard Terms and Conditions Capacity Building Programs
- ☐ Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98

Signature of Authorized Official - Denali Commission

Typed Name and Title

Jeffrey B. Staser, Federal Co-Chair

Date

7/20/01

Signature of Authorized Official - EAT

Typed Name and Title

Chris Devlin, Executive Director

Date

7/24/01

Conditions to the Financial Assistance Award
Project No. 0027-DC-2001-I9
Project Title: King Cove Clinic
Project Recipient: Eastern Aleutian Tribes (EAT)

1. The scope of work for this Financial Assistance Award is: (a) Partial payment for construction of a 9,600 square foot new medical facility, including footings and foundations, structural frame, metal roofing, interior and exterior finishes, mechanical systems, plumbing systems, and electrical systems. (b) A/E Construction Administration and Project Management services. (c) Telecommunications and medical equipment and installation.

Total Commission funding to EAT is \$1,585,000. Total project funding is \$4,085,000. The planning, design, and part of the construction costs have been covered from the \$2,500,000 appropriated for this project from the Indian Health Service. The estimated costs for the Commission funds for this Award follow. The full project budget is found in Paragraph No. 10 below.

Item No.	Description	Estimated Cost	Comments
1	Construction Funds	\$713,220	Total Cost: \$2,895,000
2	Construction Contingency	\$289,500	
3	A/E Construction Administration/Mgt.	\$250,000	
4	Fixed Equipment/Telecommunications	\$253,030	
5	Indirect @ 5%	\$79,250	
	TOTAL	\$1,585,000	

All Commission funding is intended for use for the scope of work specified above only. No interest will be accrued on these funds.

In the event there is a balance of funding after the full scope of work is completed, then the Commission (in consultation with EAT) will determine how the excess funds will be allocated. The final decision on how excess funds are used is a Commission decision, and may include withdrawing excess funds for reallocation to other Commission projects. EAT will return any unexpended project funds (based upon pro rata project contributions) to the Commission at the end of the one-year project warranty period. In the event the project cannot be initiated for any reason; the Commission reserves the right to cancel the project.

2. The Project Officer for EAT is Mr. Chris Devlin and for the Denali Commission Mr. Joel Neimeyer.
3. EAT shall submit written progress reports to the Commission Project Officer quarterly (March/June/September/December). The reports are due within 30 calendar days of the end of the quarter. EAT (through the use of Interim Reports) will inform the

Commission at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions. This will allow both EAT and the Commission to pursue supplemental financing on a timely basis, or reduce the project scope to fall within the Commission project financing capabilities. Quarterly progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems.

EAT shall report annually the percentage of total funds (from the Commission and other sources) received used for planning, design and construction of rural primary care facilities. In addition, this annual report will include a breakdown on how these funds were used: force account construction (including local labor wages paid, rural Alaska wages paid, and total project wages paid), and construction contracting.

A Labor Type, Residence, and Wage Report shall be submitted to the Commission Project Officer at a minimum of two times annually. At a minimum, these two reports must be on or near August 1st and December 1st each year to correspond with the Alaska construction season. Reports may be submitted more often. A "Labor Type, Residence, and Wage Report" must include the following information by construction project for any person employed on the construction of that project: position, place of primary residence, first check date, last check date, rate of pay per hour, payroll earnings from inception of project to date. Personal or confidential information such as Social Security numbers, names of individuals, or other information that would identify an individual should not be included within this report.

In addition, EAT shall provide photo documentation of project development and construction. A minimum of three photos shall be taken for each quarterly report in which construction activity occurs. Photo documentation shall be supplied in the form of photo quality 3x5 prints and a negative for each picture submitted and/or print quality electronic photos (digital images). A short description of the activity and names of those in the photos shall also be provided.

These quarterly, bi-annual and annual progress reports shall be sent to:

Denali Commission
510 "L" Street, Suite 410
Anchorage, AK 99501

The Commission reserves the right, at a future date, to direct EAT to provide the progress reports in electronic format for posting on the Commission web page.

4. Two forms of project financial reports are required.

- A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). For each project that includes funding from the Denali Commission, the quarterly financial status report shall show the following:
- i. the total project budget
 - ii. the total amount of Denali Commission funds committed to the project
 - iii. the total project expenditures as of the end of the most recent quarter
 - iv. the total expenditure of Denali Commission funds for the project as of the end of the most recent quarter
 - v. the percentage of expenditures to the total budget; and
 - vi. a project performance analysis on project line items, as defined by EAT, showing budget costs compared to actual expenditures and obligations to date versus work performed to date (for each line item).
- B. A final Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, EAT must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.
5. To receive payment, EAT must submit a "Request for Advance or Reimbursement", Standard Form-270 (www.whitehouse.gov/OMB/grants/index.html#forms), to the Commission Project Officer. It is expected that EAT will forecast by letter or spreadsheet format, Commission funds or working capital advances needed on a monthly (or as needed) basis for the project. This does not preclude more frequent Commission payments, then monthly, given project specific needs. The Commission reserves the right to prohibit further payments to EAT, at any time, if discrepancies, until such time that the discrepancies are resolved to the satisfaction of the Commission.
6. To the maximum extent feasible, considering applicable laws, EAT shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.
7. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
8. Based upon proposed Federal funding for this project, project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required. EAT shall address all potential

environmental concerns (specific and cumulative effects) associated with the projects, identified in this Agreement, in consultation with applicable Federal, State, and local authorities. If the scope of work is changed in the future, then EAT will revisit the project level environmental reviews to determine if potential environmental concerns must be further addressed. In the interest of streamlining the project level environmental reviews and avoid duplication of effort, any other Federal environmental review process carried out by EAT and approved by other Federal agencies shall meet the intent of this paragraph. EAT has completed the environmental review process for this project. The IHS NEPA review "Health Care Facilities Environmental Information and Documentation" checklist was completed and approved in July of 2000. The review included clearance from the State Historic Preservation Officer that no historic sites had been identified at the project location. A Certification of Consistency with The Alaska Coastal Management Program was issued by the State of Alaska in December 2000. Finally, a Department of the Army Permit for wetlands development was issued in January of 2001.

9. Changes to the Financial Assistance Award can take two forms.

Amendments shall be identified by sequential number followed by the Denali Commission project number (i.e. Amendment No. 2 – Project # 0001-DC-1999-I1). The Commission Federal Co-Chair and the designated representative for the EAT shall execute these Amendments. These documents (in Financial Assistance Award format with attached Award Conditions) define the changes to the scope of work, project schedule and estimated costs for each specific project undertaken. In general, Amendments are used for changes in funding from the Commission and/or other sources to the total project amount.

Addendums to Financial Assistance Award are in letter format from the designated Project Officer for the Commission to the designated Project Officer for the EAT. Addendums shall be identified by sequential letter followed by the Denali Commission project number (i.e. Addendum No. B – Project # 0001-DC-1999-I1). These Addendums shall be executed by the concurrence of the Commission Project Officer and approval by the Commission Chief of Staff. In general, Addendums are used for small changes in project scope. These addendums shall include a scope of work, negotiated cost reimbursement, a method to measure completion of work, and lastly payment method.

10. Project Management Plan: EAT is contracting with Ms. Christina Kowalczewski for project management services. This contract will be extended to cover the construction period. In addition, EAT is contracting with the project designers, ECI/Hyer Architects, for construction administration services. The work of both contractors is directed by the Project Steering Committee which is composed of EAT's Executive Director, the City Manager of King Cove, and the Executive Director of the Agdaagux Tribe.

Construction Method: The King Cove Clinic project was bid competitively, with an Indian Preference of 5%. A Native owned general contractor was selected. The construction contract requires Native preference in sub-contracting and hiring and local hire is encouraged.

Administrative Fees: EAT has a pass through indirect rate of 5% which will be charged to this project for the Commission funds.

Project Scope: This project includes the planning, design, construction, equipping, and furnishing of a new 9600 square foot clinic in King Cove Alaska. The site for the clinic and the extension of utilities to the site are being provided by the City of King Cove at no cost to the project. Planning and design have been completed. The funds from the Denali Commission will be used to cover part of the cost of construction, including the purchase and installation of fixed equipment and telecommunications equipment, construction administration and management, and a construction contingency fund. EAT will provide the non-fixed equipment and furnishings from separate funds.

Project Budget:

Project Expenses	
Planning/Site Studies	\$57,540
Design	\$248,680
Bidding	\$12,000
Construction.....	\$2,895,000
Construction Administration/Management	\$250,000
Construction Contingency	\$289,500
Fixed Equipment/Telecommunications	\$253,030
Indirect on Denali Commission Funds	<u>79,250</u>
Total Project Costs:	\$4,085,000

Project Funding:	
IHS Contract	\$2,500,000
Denali Commission	<u>\$1,585,000</u>
Total Project Funding:	\$4,085,000

Project Schedule:

Completion of Design Documents	3/30/01
Advertisement for Bids.....	4/4/01 - 4/27/01
Bid Opening.....	4/27/01
Contract Award/NTP	5/09/01
Construction Period	5/14/01 – 3/1/02
Project Close-out	3/1/02 – 5/1/02